

442 Mass. 423

COMMONWEALTH

v.

Valerio DiGIAMBATTISTA.

Supreme Judicial Court of Massachusetts,
Middlesex.

Argued April 6, 2004.

Decided Aug. 16, 2004.

Background: Defendant was convicted in the Superior Court Department, Middlesex County, Charles T. Spurlock and Judith A. Cowin, JJ., of burning a dwelling house. Defendant appealed, and the Appeals Court, 59 Mass.App.Ct. 190, 794 N.E.2d 1229, affirmed.

Holdings: On granting defendant's petition for further review, The Supreme Judicial Court, Sosman, J., held that:

- (1) defendant's confession was sufficiently corroborated, even assuming corroboration rule required corroboration that defendant was the actual perpetrator of arson, or a showing of circumstances that would make his confession itself reliable;
- (2) defendant's confession was not voluntary; and
- (3) defendant whose confession is not electronically recorded is entitled to cautionary instruction with respect to confession.

Reversed.

Greaney, J. filed opinion concurring in part and dissenting in part, in which Spina and Cowin, JJ., joined.

Spina, J., filed dissenting opinion in which Greaney, J., joined and Cowin, J., joined as to Part B only.

1. Criminal Law \S 534(2)

Even assuming corroboration rule required corroboration that defendant was the actual perpetrator of arson, or a showing of circumstances that would make his confession itself reliable, that expanded

rule was satisfied by evidence of defendant's motive based on his anger at former landlord for failing to maintain premises, witness's statement placing someone who matched defendant's description at scene, and evidence that defendant was one of three people with access to premises and made inconsistent statements regarding his whereabouts on night of fire.

2. Criminal Law \S 534(1)

The corroboration rule only requires corroboration that the underlying crime was in fact committed, thus preventing convictions against persons who have confessed to fictitious crimes.

3. Criminal Law \S 523

Defendant's confession to burning of dwelling house was not voluntary, in view of interrogating officers' use of trickery by presenting defendant with fake videotape and documentary evidence suggesting the strength of Commonwealth's case, officers' minimization of crime by stating that his conduct was understandable, justifiable, and not serious, and their repeated references to defendant's need for "counseling," in manner implicitly suggesting to defendant that confession would result in lenient disposition.

4. Criminal Law \S 523

The interrogator's use of trickery in eliciting a confession is to be considered as part of the totality of the circumstances, the test that is used to determine the validity of a waiver and the voluntariness of any statement.

5. Criminal Law \S 523

Where the use of a false statement by an interrogating officer is the only factor pointing in the direction of involuntariness of a confession, it will not ordinarily result in suppression, but if the circumstances contain additional indicia suggesting involuntariness, suppression will be required.

6. Criminal Law ⇨523

False statements by an interrogating officer about the evidence against the suspect do not automatically render the suspect's confession involuntary.

7. Criminal Law ⇨520(1), 523

A false statement by an interrogating officer concerning the strength of the Commonwealth's case, coupled with an implied promise that the defendant will benefit if he makes a confession, may undermine the defendant's ability to make a free choice in giving a statement.

8. Criminal Law ⇨519(9)

A police officer's use of the standard interrogation tactic of "minimization," by itself, does not compel the conclusion that a confession is involuntary.

9. Criminal Law ⇨520(2)

A mere mention by an interrogating officer of counseling, by itself, would not automatically constitute an improper offer of leniency undermining voluntariness of a defendant's statement; such evidence must be considered as part of the totality of the circumstances.

10. Criminal Law ⇨531(1, 3)

On a motion to suppress a confession, it is the Commonwealth's burden to establish, beyond a reasonable doubt, that the defendant's confession was voluntary; it is not up to the defendant to establish involuntariness.

11. Criminal Law ⇨412.2(5), 414

Just as failure to conduct a thorough investigation can itself comprise a basis for concluding that the Commonwealth has not met its burden to prove a defendant's guilt beyond a reasonable doubt, failure to preserve evidence of an interrogation in a thorough and reliable form can comprise a basis for concluding that voluntariness and

a valid waiver have not been established beyond a reasonable doubt.

12. Criminal Law ⇨783(1)

Where there are grounds for questioning the reliability of certain types of evidence that the jury might misconstrue as particularly reliable, specific instruction to the jury may be appropriate.

13. Criminal Law ⇨517(7)

Although electronic recording of a defendant's confession is not required in order for the confession to be admissible, a defendant whose interrogation has not been reliably preserved by means of a complete electronic recording should be entitled, on request, to a cautionary instruction concerning the use of such evidence.

14. Criminal Law ⇨781(5)

Where voluntariness of a confession is a live issue and the humane practice instruction is given, the jury should also be advised that the absence of a recording permits, but does not compel, them to conclude that the Commonwealth has failed to prove voluntariness of the defendant's confession beyond a reasonable doubt.

15. Criminal Law ⇨721.5(1), 736(2)

It is permissible for the prosecution to address any reasons or justifications that would explain why no electronic recording of a confession was made, leaving it to the jury to assess what weight they should give to the lack of a recording.

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Kevin J. Curtin, Assistant District Attorney (Alexandra T. Camp, Assistant Dis-

trict Attorney, with him) for the Commonwealth.

The following submitted briefs for amici curiae:

David M. Siegel for Suffolk Lawyers for Justice, Inc., & another.

Carlo Obligato, Committee for Public Counsel Services, & Marco Rechenberg, of New York, for Committee for Public Counsel Services.

Thomas F. Reilly, Attorney General, Cathryn Neaves, Assistant Attorney General, Boston, & Robert J. Bender, Assistant District Attorney, Salem, for the Attorney General & others.

Present: MARSHALL, C.J.,
GREANEY, IRELAND, SPINA,
SOSMAN, & CORDY, JJ.¹

SOSMAN, J.

The defendant, Valerio DiGiambattista, was convicted of burning a dwelling house (G.L. c. 266, § 1). That conviction rested, in large measure, on DiGiambattista's confession to the police during an unrecorded interrogation at a fire station. It is undisputed that, in an effort to obtain his confession, the interrogating officers resorted to trickery, falsely suggesting to DiGiambattista that his presence at the scene of the fire had been captured on videotape, while simultaneously expressing sympathy for his actions and opining that he needed counseling for his alcoholism. In his subsequent confession, DiGiambattista's version of how and where he started the fire was completely contrary to the forensic evidence, and other details of his confession were ultimately shown to be impossible. On appeal, DiGiambattista contends that his motion to suppress the confession

should have been allowed, and that, even with the introduction of the confession, the evidence against him was insufficient because the Commonwealth failed to present evidence corroborating that confession. See *Commonwealth v. Forde*, 392 Mass. 453, 458, 466 N.E.2d 510 (1984) (*Forde*). After remanding the case to the motion judge for further findings concerning the motion to suppress, the Appeals Court affirmed the conviction. *Commonwealth v. DiGiambattista*, 59 Mass.App.Ct. 190, 191, 199, 794 N.E.2d 1229 (2003). We granted the defendant's application for further appellate review, and we invited the filing of amicus briefs addressing whether we should expand the *Forde* corroboration rule and whether we should require electronic recording of custodial interrogations. For the following reasons, we conclude that the defendant's confession should have been suppressed, and we therefore reverse the defendant's conviction and remand the case for further proceedings. We also take this occasion to announce that, henceforth, the admission in evidence of any confession or statement of the defendant that is the product of an unrecorded custodial interrogation, or an unrecorded interrogation conducted at a place of detention, will entitle the defendant, on request, to a jury instruction concerning the need to evaluate that alleged statement or confession with particular caution.

1. *Facts.* The evidence, viewed in the light most favorable to the Commonwealth, was as follows. DiGiambattista, Nicole Miscioscia (his girlfriend and later fiancée), and Miscioscia's children lived in a rented house at 109 Adams Street in Newton. The property was owned by Angelo Paolini, who had a construction company on the

1. Because Justice Cowin sat as the trial judge in this case, she has recused herself from the discussion relating to the trial and joins only

in the portion of Justice Spina's dissent that is unrelated to the trial of the case.—REPORTER.

lot next door. During the final year of his tenancy, DiGiambattista withheld rental payments on account of Paolini's failure to make much needed repairs to the premises.

On March 7, 1998, buoyed by the receipt of a tax refund, DiGiambattista and his family moved out of the 109 Adams Street property and relocated to an apartment in Chelsea. A few days prior to their departure, DiGiambattista installed a new lock on the front door, keeping one key for himself and giving the other one to his mother. (A few of his mother's belongings remained on the premises, with the understanding that she would later retrieve them.) With that new lock in place, the only way to lock the door from the outside was by way of a key.

Shortly prior to midnight on Tuesday, March 10, 1998, a neighbor noticed smoke coming from the house at 109 Adams ¹⁴²⁶Street. When firefighters arrived at the scene, they found the front door locked, the other two doors boarded up, and the windows closed.² Inspection of the scene and testing of samples revealed that the perpetrator had used gasoline as an accelerant and had started the fire in or near a closet underneath a stair landing. A second, but insignificant, fire had also been set in the kitchen sink, lighting a small amount of paper. Expert assessment was that the fire had been started sometime between 11:25 P.M. and 11:55 P.M.

2. There was evidence that a rear window at ground level may have been open, but one of the officers testified that there was plastic covering that window.
3. Specifically, Miscioscia told the officers that DiGiambattista never left the Chelsea apartment at all the night of the fire, whereas DiGiambattista himself acknowledged that he had left on a brief errand at around 10:30 P.M., and a visitor to the apartment reported

The following evening, officers questioned DiGiambattista and Miscioscia concerning the fire. There were some inconsistencies in their versions as to DiGiambattista's whereabouts on the night of the fire,³ and inconsistent versions as to who still had a key to the new front door lock. During initial questioning, DiGiambattista suggested the possibility that Paolini had set the fire, mentioning that Paolini may have used gasoline. (At that point in the interview, the officers had not said anything about an accelerant being used to start the fire, and did not yet have expert analysis identifying the accelerant as gasoline.) Further investigation uncovered a witness who claimed that he had seen a man resembling DiGiambattista enter the 109 Adams Street property at around 6 or 6:30 P.M. on the night of the fire.⁴

On April 10, 1998, one month after the fire, DiGiambattista voluntarily accompanied two officers (a State trooper and a Newton police officer) to a nearby fire station for further questioning. The trooper told DiGiambattista that he was free to leave, gave him Miranda warnings, and obtained his written waiver of rights. After an initial period of conversational, mild-mannered questioning, the officers changed tack and told ⁴²⁷DiGiambattista that he was their prime suspect, that his statements were inconsistent with those of other witnesses, and that they had a witness who placed him at the scene of the fire that night. DiGiambattista denied

- his having left on his errand much earlier in the evening. Video surveillance at an automated teller machine in Chelsea ultimately confirmed that DiGiambattista had made a withdrawal there at 7:50 P.M. on the night of the fire.
4. The witness had not seen the face of the person entering the house and did not make a positive identification of DiGiambattista.

that he had been at the house that night and denied setting the fire. The officers inquired as to his willingness to take a lie detector test. After what the officers described as some hesitation and seeming reluctance, DiGiambattista agreed.⁵

At that point in the interview, another trooper came into the room carrying a thick folder and two videotapes. The folder was stuffed with blank paper and miscellaneous newspaper clippings having nothing to do with the case. One of the videotapes, marked "109 Adams Street," was a recording made at the scene the night of the fire. The other, labeled "Paolini Construction Worker's Comp Case," was blank. This fictitious folder and videotape had been prepared in advance, with a plan that the other trooper would bring them into the interview room at a designated time. With the folder and videotapes conspicuously placed on the table next to the interrogating trooper, the trooper asked DiGiambattista: "If I told you that somebody at Paolini Construction was under surveillance by an insurance company for a workers' comp fraud case, is there any reason you would show up on that videotape?" While confronting DiGiambattista with this ostensible evidence against him, the trooper simultaneously sought to "downplay the crime itself, and give [DiGiambattista] a way of saving face, to confess to this by downplaying it," pointing out that "no one was hurt" in the fire and that, in light of the deplorable condition of the premises, the trooper could "relate to" and "understand" his anger at the landlord and the desire to "do

something like that."⁶ DiGiambattista continued to deny that he had been at the scene.

The two officers left the room and were replaced by the J428 trooper who had brought in the folder and tapes. That trooper repeated to DiGiambattista that they had a witness placing him at the scene, and that his own prior statement and the statements of witnesses contained inconsistencies as to the time he had left his new apartment that night (see note 3, *supra*). The trooper then expressed the view that DiGiambattista had not meant to hurt anyone, and that his lighting the fire was the product of stress, alcohol consumption, and understandable frustration with his living situation at 109 Adams Street. The trooper then gave DiGiambattista two proposals, that he had either done this "to hurt someone" or that he "had to be upset," under "stress," and that "when you add the booze in, you're going to make mistakes." During the trooper's explanation, DiGiambattista began nodding, and then acknowledged that he "was stressed" and had been drinking. The trooper asked him if he had used matches or a lighter. DiGiambattista replied that he had used both. The trooper asked him how he had lit the fire. DiGiambattista said that he used gasoline. After one more question and answer (which the trooper could not recall at trial), the trooper summoned the original interrogators into the room to take a more detailed statement because they were the ones "who had all the background knowledge of the case."⁷

5. No polygraph test was administered, and the officers had no intention of administering one. They posed the inquiry in order to gauge DiGiambattista's reaction to the suggestion.

6. At the hearing on the motion to suppress, the officers denied that they had prom-

ised to get counselling for DiGiambattista if he confessed, but the principal interrogator acknowledged telling DiGiambattista that he "probably needs some type of counselling."

7. At the hearing on the motion to suppress and at trial, DiGiambattista testified that other pressures were brought to bear to obtain

DiGiambattista's detailed statement to the officers recounted that he had traveled from Chelsea to 109 Adams Street using public transportation, stopping at a named hardware store in Watertown to buy a two and one-half gallon gasoline can. He also identified the gasoline station where he bought one dollar's worth of gasoline. After a period of drinking beer, he proceeded to 109 Adams Street, let himself in the front door, and then 1429 poured gasoline in multiple locations in the house, "lighting the gas as [he] went along." He claimed to have done so "in almost every room in the house."

At the officers' request, DiGiambattista also drew a diagram of where he had spread gasoline and lit fires. On the diagram of one floor, DiGiambattista wrote that he "[p]oured gas almost everywhere," and on the diagram of the other floor, he indicated that he "[p]oured gas all over." The diagram identified four definite locations (and a fifth possible location) where he had started fires on both floors. None of the locations identified in the diagram corresponded with the closet area where the experts determined the fire had been started. Despite the officer's suggestion that DiGiambattista indicate the location of the kitchen sink in his diagram (an apparent attempt to jog his memory concerning the paper fire they had found in that location), DiGiambattista's confession and diagram made no reference to starting a fire in the sink (or anywhere else in the kitchen). Similarly, whereas DiGiambattista unambiguously confessed to pouring gaso-

line liberally throughout both floors of the house, examination of the scene revealed gasoline only in a few specific locations, notably in the vicinity of the closet fire (an area not designated by DiGiambattista as a place he had spread gasoline).

In his confession, DiGiambattista said that he thought that he threw the gasoline can "in the back room," but he was "not 100 percent sure." He then "left out the front door and ran." However, when the officers informed DiGiambattista that they had not found any gasoline can in the house, he changed his statement to say that he had taken the can with him on the bus as far as Harvard Square and had thrown it in a dumpster. The officers expressed incredulity that anyone would carry a gasoline can on a bus, at which point DiGiambattista changed his statement again, claiming that he had thrown the can away at a picnic area along the Charles River not far from the fire scene. DiGiambattista also told the officers that he had thrown the key in the river, but then later told them that he still had the key at home. (At the conclusion of the interrogation, the officers took DiGiambattista home, whereupon he retrieved the key and gave it to them.)

1430 There was no electronic recording or taping of any portion of the interrogation or of the confession that it ultimately produced. One of the officers took down the confession in written form, which DiGiambattista reviewed, corrected, and signed. DiGiambattista also drew and signed the two-page diagram of both floors of the

his confession, namely, that the troopers threatened to arrest both him and Miscioscia, warned that a high bail would keep him in custody over the weekend (with an even higher bail likely to be imposed at arraignment on Monday), and predicted that State officials would take their children away. He also testified that the officers told him that, if he confessed, the case could be resolved with his

participation in counselling. He also claimed that he asked for an attorney, but was told that the involvement of any attorney would be the end of the "deal." The motion judge's findings (rendered on remand four and one-half years after the evidentiary hearing on the motion) did not credit DiGiambattista's testimony on any of these points.

house described above. Finally, at the officers' suggestion, DiGiambattista also composed a letter of apology for the fire, explaining that he had been "stressed out because of the conditions of the house" and "drunk," expressing that he was "happy that nobody got hurt," acknowledging that he "need[ed] help with [his] alcoholism problem," and agreeing "to get help with [his] alcohol problem and any stress related problems." The interrogation, lasting approximately two and one-half hours, was then concluded.

Subsequent investigation revealed that DiGiambattista could not have bought the gasoline can from the hardware store he had identified in his confession.⁸ Similarly, the gasoline station that he had identified had no record of anyone buying one dollar's worth of gasoline that night. The officers later recovered a gasoline can from a back room at the house (on a different floor from the disposal location initially identified by DiGiambattista), but that can was a six gallon can (not the two and one-half gallon can described by DiGiambattista). There was no evidence of any gasoline can found in the picnic area where DiGiambattista had ultimately indicated he disposed of it.

[1, 2] 2. *Corroboration rule.* At trial, the defendant moved for a required finding of not guilty, contending that the Commonwealth's evidence failed to include sufficient corroboration of his confession, and that the *Forde* corroboration rule had therefore not been satisfied. The corroboration rule, as articulated in *Commonwealth v. Forde*, 392 Mass. 453, 466 N.E.2d

510 (1984), only requires corroboration that the underlying crime was in fact committed, thus preventing convictions against persons who have confessed to fictitious crimes. *Id.* at 458, 466 N.E.2d 510. Here, there was ample evidence that an arson had been committed, and, whatever the concerns about DiGiambattista's confession (see [43]note 15, *infra*), there was no suggestion that he was confessing to a crime that had not occurred. The trial judge correctly denied the motion for a required finding of not guilty.

On appeal, DiGiambattista asks that we expand the *Forde* rule to require corroboration that the defendant was the actual perpetrator of the crime, or a showing of circumstances that would make the confession itself reliable. See *United States v. Lopez-Alvarez*, 970 F.2d 583, 592 (9th Cir.), cert. denied, 506 U.S. 989, 113 S.Ct. 504, 121 L.Ed.2d 440 (1992). We agree with the Appeals Court that, even if we were to expand the rule in the manner DiGiambattista requests, any such expanded rule would have been satisfied in this case. *Commonwealth v. DiGiambattista*, 59 Mass.App.Ct. 190, 197, 794 N.E.2d 1229 (2003). Beyond DiGiambattista's confession, the Commonwealth contended at trial that DiGiambattista's guilt was corroborated by the following: (1) DiGiambattista's motive, namely, anger at his former landlord on account of the landlord's failure to maintain the premises; (2) the witness who had seen someone resembling DiGiambattista on the premises at 6 or 6:30 P.M. on the night of the fire⁹; (3) evidence that the perpetrator had entered and left the

8. That store had closed at 8 P.M. on the night of the fire (at which time DiGiambattista was undisputably still in Chelsea), and its records indicated that no one had bought a gasoline can that day.

9. It was undisputed that DiGiambattista was at a bank in Chelsea at 7:50 P.M., but he could

have made the trip from Newton to Chelsea in that time and then returned to Newton later that night. However, DiGiambattista's confession makes no mention of being at the premises that evening at any time prior to lighting the fire.

premises by the front door, with DiGiambattista being one of only three people who had access to a key to that door¹⁰; and (4) DiGiambattista and others giving inconsistent statements about who had had the new front door key at various points over the course of their weekend move, and inconsistent versions as to whether and at what time DiGiambattista had left the apartment to run an errand on the night of the fire.

Some commentators have suggested that an expanded corroboration rule would help counteract the phenomenon of “false confessions.” See Ofshe, *The Decision to Confess Falsely: Rational Choice and Irrational Action*, 74 *Denv. U. L.Rev.* 979, 1492-1119 (1997). We question the efficacy of that approach. Police interrogations are not conducted at random, but often focus on persons who are already suspects, i.e., persons as to whom there is at least some basis for suspicion. To the extent that interrogation of an innocent suspect produces a “false confession,” ordinarily there will be at least some “corroboration” of that confession (i.e., the facts and circumstances that placed that person under suspicion in the first place). As such, requiring additional “corroboration” may do little to reduce the risk of a conviction predicated on a false confession. At this juncture, we decline to expand the corroboration rule, but instead focus our attention on other tools at our disposal to address the issue of alleged false confessions, namely, strict analysis of the circumstances of the interrogation as they affect the voluntariness of a defendant’s statement, and the suggestion that we take further steps to encourage or require electronic recording of interrogations.

10. This theory presupposed that DiGiambattista, ostensibly drunk and fleeing a house he had just set on fire, had stopped to relock the

[3, 4] 3. *Voluntariness of the confession.* The principal issue raised with respect to the denial of the defendant’s motion to suppress is the voluntariness of his confession and, in particular, the calculated trickery that was used to obtain it. Twenty-five years ago, this court stated that “we expressly disapprove of the tactics of making deliberate and intentionally false statements to suspects in an effort to obtain a statement,” as “such tactics cast doubt” on both the validity of a suspect’s waiver of rights and the voluntariness of any subsequent confession. *Commonwealth v. Jackson*, 377 Mass. 319, 328 n. 8, 386 N.E.2d 15 (1979). See *Commonwealth v. Edwards*, 420 Mass. 666, 671, 651 N.E.2d 398 (1995) (use of false statements to obtain suspect’s waiver is “disapproved of and may indicate that any subsequent waiver was made involuntarily”). See also *Commonwealth v. Nero*, 14 Mass.App.Ct. 714, 716, 442 N.E.2d 430 (1982) (“use of false information as a tactical device is strongly disapproved and casts instant doubt on whether a defendant’s statement is voluntary”). We have, however, repeatedly held that while the use of false statements during interrogation is a relevant factor on both waiver and voluntariness, such trickery does not necessarily compel suppression of the statement. See *Commonwealth v. Scoggins*, 439 Mass. 571, 576, 789 N.E.2d 1080 (2003); *Commonwealth v. Colby*, 422 Mass. 414, 416–417, 663 N.E.2d 808 (1996); *Commonwealth v. Edwards*, *supra*; *Commonwealth v. Selby*, 420 Mass. 656, 664, 651 N.E.2d 843 (1995); *Commonwealth v. Forde*, 392 Mass. 453, 455–456, 466 N.E.2d 510 (1984); *Commonwealth v. Meehan*, 377 Mass. 552, 563–564, 387 N.E.2d 527 (1979), cert. dismissed, 445 U.S. 39, 100 S.Ct. 1092, 63 L.Ed.2d 185 (1980); *Commonwealth v. Jackson*, *supra*.

front door behind him. DiGiambattista’s confession made no reference to locking the door as he “ran” from the house.

See also *Commonwealth v. Nero*, *supra* at 716–718, 442 N.E.2d 430. Rather, the interrogator’s use of trickery is to be considered as part of the totality of the circumstances, the test that is used to determine the validity of a waiver and the voluntariness of any statement. *Commonwealth v. Edwards*, *supra* at 672, 651 N.E.2d 398. *Commonwealth v. Forde*, *supra*.

[5] Close analysis of our case law on the subject of trickery suggests that where the use of a false statement is the *only* factor pointing in the direction of involuntariness, it will not ordinarily result in suppression, but that if the circumstances contain additional indicia suggesting involuntariness, suppression will be required. See *Commonwealth v. Selby*, *supra* at 662 n. 1, 664–665, 651 N.E.2d 843 (despite false statement about retrieval of suspect’s handprint and fingerprint from scene, waiver and confession both voluntary where “all other relevant factors specific to the instant case indicate a voluntary waiver was made” and trickery was only factor suggesting involuntariness); *Commonwealth v. Edwards*, *supra* (confession admissible where nothing other than use of trickery would suggest involuntariness). See also *Commonwealth v. Nero*, *supra* at 718, 442 N.E.2d 430 (unintentional misstatement about strength of identification evidence against suspect did not render statement involuntary; where other evidence known to suspect was strong, single misstatement “had very little if any influence on his decision to talk to the police”). Contrast *Commonwealth v. Meehan*, *su-*

pra at 562–564 & n. 4, 387 N.E.2d 527 (police use of false statement concerning strength of identification testimony, combined with assurances of benefit from confessing, youth and emotional instability of suspect, and denial of right to use telephone, resulted in suppression); *Commonwealth v. Jackson*, *supra* at 322–324, 386 N.E.2d 15 (suppression required where police repeatedly failed to honor suspect’s invocation of right to remain silent, and used trickery to obtain subsequent waiver).

Here, there is no dispute that the trickery used was part of an ¹⁴³⁴orchestrated, prearranged plan amongst the officers—the videotape with its false label, and the artificially thick file, were both prepared in advance, with the intention that another trooper would bring them into the room at a particular point in the interrogation and that the interrogator would use them to convince DiGiambattista of the ostensible strength of the evidence against him. The Commonwealth contends that that trickery was the only factor suggesting involuntariness and that, as in the above cases, the trickery therefore does not compel suppression.¹¹

[6] While we adhere to the view that false statements about the evidence against the suspect do not automatically render the suspect’s confession involuntary, we note that ongoing research has identified such use of false statements as a significant factor that pressures suspects into waiving their rights and making a confession. See Comment, False Confes-

11. The Commonwealth also suggests that the trickery was less potent because the trooper did not affirmatively state that DiGiambattista had been identified on the videotape, but only asked a question (“If I told you that . . . ?”). Without regard to the precise grammatical construct of the trooper’s reference to the videotape, the question inherently conveyed to DiGiambattista that the police had a solid,

videotaped identification of him at the scene on the night of the fire. With the deliberately planted “prop” of a videotape carrying a fake label that corresponded to the premise of the trooper’s “question,” the obvious purpose was to make DiGiambattista believe that his likeness was indeed on the videotape before him.

sions and Fundamental Fairness: The Need for Electronic Recording of Custodial Interrogations, 6 B.U. Pub. Int. L.J. 719, 732–733 (1997); White, False Confessions and the Constitution: Safeguards Against Untrustworthy Confessions, 32 Harv. C.R.-C.L. L.Rev. 105, 145–149 (1997); Ofshe, The Decision to Confess Falsely: Rational Choice and Irrational Action, 74 Denv. U. L.Rev. 979, 1008–1013 (1997); Roppe, True Blue? Whether Police Should Be Allowed to Use Trickery and Deception to Extract Confessions, 31 San Diego L.Rev. 729 (1994); Kassin, The Psychology of Confession Evidence, 52 Am. Psychologist 221 (1997). This is particularly true where, as here, the false statement suggests a form of incriminating evidence that would be viewed as incontrovertible. If a suspect is told that he appears on a surveillance⁴³⁵ tape, or that his fingerprints or DNA have been found, even an innocent person would perceive that he or she is in grave danger of wrongful prosecution and erroneous conviction.

[7] We have recognized that false statements concerning ostensibly irrefutable evidence against a suspect are particularly troublesome when combined with suggestions of leniency in exchange for a confession. “[A] false statement concerning the strength of the Commonwealth’s case, coupled with an implied promise that the defendant will benefit if he makes a confession, may undermine ‘the defendant’s ability to make a free choice.’”

12. The judge’s findings do not adopt the sequence of events that Justice Spina’s dissent assumes, namely, that no references to “counseling” were made until *after* DiGiambattista confessed. *Post* at 456, 813 N.E.2d at 539 (Spina, J., dissenting). The principal interrogator’s testimony made no reference to such a sequence, and his testimony was given in response to a series of questions addressing what was said to DiGiambattista that would potentially convince him to confess. While

Commonwealth v. Scoggins, 439 Mass. 571, 576, 789 N.E.2d 1080 (2003), quoting *Commonwealth v. Meehan*, 377 Mass. 552, 563, 387 N.E.2d 527 (1979). “The specter of coercion arises in these circumstances from the possibility that an innocent defendant, confronted with apparently irrefutable (but false) evidence of his guilt, might rationally conclude that he was about to be convicted wrongfully and give a false confession in an effort to salvage the situation.” *Commonwealth v. Scoggins*, *supra* at 576–577, 789 N.E.2d 1080, citing Ofshe, The Decision to Confess Falsely: Rational Choice and Irrational Action, 74 Denv. U. L.Rev. 979 (1997).

The motion judge found that, although there were various references during the interrogation to DiGiambattista’s need for “counseling,” the officers made no explicit promises of leniency in exchange for a confession to the crime. That finding, supported by the evidence, is one that we must accept.¹² However, the absence of any explicit offer of leniency does not mean that there was no implied offer. Coercion may be readily applied by ⁴³⁶way of implied threats and promises, just as it is by express threats and promises.

References to DiGiambattista’s need for “counseling” implicitly suggested to him that “counseling” would be an appropriate avenue for him to pursue after making a confession, and that the interrogating officer would endorse such an approach. And, in addition to the references to

another trooper testified that a discussion of “counseling” occurred after DiGiambattista’s confession, that trooper had not been in the room during the principal interrogator’s questioning of DiGiambattista, and thus would not have heard any earlier references to “counseling.” The judge discredited DiGiambattista’s claim that counseling was expressly offered in exchange for his confession, but did not discredit the testimony that the subject of “counseling” came up during the interrogation.

“counseling,” the officers suggested to DiGiambattista that his commission of the crime was understandable, justifiable, excusable, and not that serious. Research suggests that such “minimization” of the crime by an interrogator implies leniency if the suspect will adopt that minimized version of the crime, and that leniency can thereby be implicitly offered even if it is not expressly stated as a quid pro quo for the confession. See S.M. Kassin and K. McNall, *Police Interrogations and Confessions: Communicating Promises and Threats by Pragmatic Implication*, 15 L. & Hum. Behav. 233 (1991). By the troopers’ own versions, “minimization” techniques were used repeatedly during this interrogation—the desire to burn the house was portrayed as understandable in light of the deplorable conditions that DiGiambattista and his family had had to endure during the years they lived in the house, DiGiambattista was under “stress,” he acted while under the influence of alcohol, and he had no intent to hurt anyone. This “minimization” of the crime, combined with references to DiGiambattista’s need for “counseling,” would at least imply to DiGiambattista that, if he adopted the troopers’ version of events, the crime would be viewed less seriously and could be resolved by mere “counseling.”¹³ The contention that this interrogation contained no express promise of leniency in exchange for a confession asks us to over-

look evidence of “an implied promise that the defendant will benefit if he makes a confession” (emphasis added). *Commonwealth v. Scoggins*, 439 Mass. 571, 576, 789 N.E.2d 1080 (2003).

Justices Spina’s dissent contends that research into the effects of “minimization” has been discredited and excluded from evidence, such that we should place no credence in it when assessing⁴³⁷ the voluntariness of this confession.¹⁴ What has been excluded, by some courts, is expert testimony concerning the alleged relationship between various interrogation techniques and false confessions. See *Vent v. State*, 67 P.3d 661, 667–670 (Alaska Ct. App.2003); *State v. Cobb*, 30 Kan.App.2d 544, 552–553, 564–567, 43 P.3d 855 (2002); *State v. Free*, 351 N.J.Super. 203, 798 A.2d 83 (2002). Expert opinion whether a particular confession is “false” is problematic for a variety of reasons, most notably because it constitutes an opinion on the ultimate issue to be decided by a jury. However, where the opinion to be rendered has been carefully circumscribed to avoid that problem, other courts have allowed expert testimony on the subject of false confessions and interrogation techniques. See, e.g., *United States v. Hall*, 974 F.Supp. 1198, 1203–1206 (C.D.Ill.1997), *aff’d*, 165 F.3d 1095 (7th Cir.), *cert. denied*, 527 U.S. 1029, 119 S.Ct. 2381, 144 L.Ed.2d 784 (1999); *People v. Page*, 2 Cal.App.4th 161,

13. Not surprisingly, DiGiambattista’s confession and letter of apology adopted every single one of the minimizing themes suggested by the officers, acknowledged his need for “help,” and promised to obtain that “help with [his] alcohol problem and any stress related problems.”

14. Justice Spina also suggests that the author of these studies has disavowed his own research, quoting his statement that the experiments yielded only “mixed support for the hypothesis that minimization may pragmatically imply an offer of leniency.” *Post* at 458,

813 N.E.2d at 540 (Spina, J., dissenting). The author was explaining that an initial series of general experiments had yielded that “mixed support,” whereupon he designed and conducted further experiments to focus on that precise question. Those subsequent experiments confirmed not only that “minimization” conveys an offer of leniency, but that it does so just as effectively as an explicit offer of leniency. Kassin, *Police Interrogations and Confessions: Communicating Promises and Threats by Pragmatic Implication*, L. & Hum. Behav. 233, 239–241 (1991).

179–189, 2 Cal.Rptr.2d 898 (1991); *Callis v. State*, 684 N.E.2d 233, 239–240 (Ind.Ct. App.1997).

More importantly, what we are considering today is not whether DiGiambattista's confession was "false," or whether he should have been allowed to present expert testimony on the subject of interrogation techniques. Rather, we are analyzing whether his confession was voluntary, and as part of that analysis, we must consider whether the interrogators communicated to DiGiambattista, expressly or implicitly, the impression that a confession would result in a lenient disposition. None of the cases cited by Justice Spina quarrels with the observation that the technique of "minimization" conveys that impression. Indeed, one of the reasons that courts have excluded expert testimony on this subject is that the impact of such interrogation techniques can be understood by jurors without expert⁴³⁸ assistance. See *State v. Ritt*, 599 N.W.2d 802, 812 (Minn.1999) (expert testimony not needed where, from videotape of entire interrogation and confession, jury had "sufficient information" to evaluate defendant's argument that interrogation was coercive); *State v. Free*, *supra* at 220–221, 798 A.2d 83 ("coercive factors" in interrogation techniques, including "minimization," "are all matters that a jury would recognize as having a potential for causing a false confession").

Even the principal proponents of these interrogation techniques do not dispute that suspects would interpret "minimization" as an offer of leniency, but rather dispute whether that implied offer of leniency would cause an innocent person to make a false confession. F.E. Inbau, J.E. Reid, J.P. Buckley, & B.C. Jayne, *Criminal Interrogation and Confessions* 419–422 (4th ed.2004). Indeed, they recognize that most suspects need "tangible incentives" to confess, such as "a belief that the suspect

will receive a lesser sentence if he fully cooperates, confesses, and expresses remorse for his crime," that "[c]ommunicating these incentives in a legal manner" is necessary in order for the resulting confession to be admissible, and that interrogators therefore should not "explicitly state promises of leniency." *Id.* They advocate "minimization" as a permissible method of creating the necessary "incentive" to confess, because it does not run afoul of the prohibition against explicit promises and would not (in their view) cause an innocent person to confess.

In short, common sense tells us that a person being asked by an interrogator to confess to a crime that is repeatedly described as understandable, justifiable, and not particularly serious would likely assume that giving the requested confession will result in lenient treatment. Scientific research has now confirmed the truth of that commonsense observation. Whether such an implied offer of leniency would in turn cause an innocent person to confess to that crime is a very different matter, and it is on that point that the research is still hotly debated and "not yet ready for 'prime time.'" *Post* at 459, 813 N.E.2d at 541 (Spina, J., dissenting), quoting Agar, *The Admissibility of False Confession Expert Testimony*, *Army Law*. 26, 42 (1999).

[8,9] We do not suggest that an officer's use of the standard interrogation⁴³⁹ tactic of "minimization," by itself, compels the conclusion that a confession is involuntary. Nor do we suggest that a mere "mention of counselling," *post* at 456, 813 N.E.2d at 539 (Spina, J., dissenting) by itself, would automatically constitute an improper offer of leniency undermining voluntariness. As always, such evidence must be considered as part of the totality of the circumstances. Here, those circumstances already included police resort to false statements concerning ostensibly ir-

refutable evidence of guilt, a tactic that “casts instant doubt” on the voluntariness of the subsequent confession. *Commonwealth v. Nero*, 14 Mass.App. 714, 716, 442 N.E.2d 430 (1982). When such trickery is then combined with multifaceted and repeated “minimization,” and then further combined with overt references to “counseling,” that “instant doubt” as to voluntariness is worsened, not dispelled.

[10] On this motion, it was the Commonwealth’s burden to establish, beyond a reasonable doubt, that DiGiambattista’s confession was voluntary. *Commonwealth v. Crawford*, 429 Mass. 60, 65, 706 N.E.2d 289 (1999). *Commonwealth v. Tavares*, 385 Mass. 140, 151–152, 430 N.E.2d 1198, cert. denied, 457 U.S. 1137, 102 S.Ct. 2967, 73 L.Ed.2d 1356 (1982). It is not up to a defendant to establish involuntariness. Here, the combination of trickery and im-

plied promises, a combination that we have recognized as potentially coercive to the point of making innocent people confess to crimes, see *Commonwealth v. Scoggins*, 439 Mass. 571, 576, 789 N.E.2d 1080 (2003), is such that the Commonwealth cannot meet its burden of proof on the issue of voluntariness.¹⁵ With all deference to the motion judge’s factual findings, we find error in the application of constitutional §440 principles to those facts, and therefore reverse the order denying the defendant’s motion to suppress.

4. *Electronic recording of interrogations.* Although the above analysis suffices to resolve this particular appeal, the parties (and amici) have briefed the issue of requiring electronic recording of interrogations,¹⁶ and the present case serves as a useful illustration of the need better to preserve the details of an interrogation

15. Although voluntariness is assessed based on the totality of the circumstances surrounding the interrogation, and not on the reliability of the confession itself, it is nevertheless troublesome that this particular confession has been shown to be inaccurate in so many important respects. Significant discrepancy between the known facts of the crime and the details of a confession is recognized as an indicator that a confession may be false. See Ofshe, *The Decision to Confess Falsely: Rational Choice and Irrational Action*, 74 *Denv. U. L.Rev.* 979, 1118–1120 (1997). Indeed, as one technique to guard against use of false confessions, it is recommended that interrogators get the confessor to give his or her own narrative version of the details of the crime, so that they may be compared with details uncovered in the investigation that would not be known to anyone other than the perpetrator. *Id.* Here, the officers followed that recommendation, and obtained DiGiambattista’s own description of how and where he ostensibly poured gasoline and lit the fire. However, that description was totally at odds with the forensic evidence, and his detailed accounts of where and how he got the gasoline can and the gasoline were equally impossible. The motion judge recognized that there were discrepancies between DiGiambattista’s ver-

sion and the known facts, but chose to explain them by reference to DiGiambattista’s intoxication at the time of the fire. Of course, the only evidence that DiGiambattista was intoxicated that night comes from the confession itself, and the troopers had repeatedly suggested to DiGiambattista that “booze” would make his crime more understandable. Moreover, while intoxication might indeed impair a perpetrator’s memory as to precise locations and sequence, DiGiambattista’s version is not merely slightly off the mark, or a bit confused or incomplete, but is hopelessly contrary to every known fact as to how this fire was set and lighted. We are not in a position to determine whether this particular confession was “false.” (Indeed, the jury, having heard all the evidence, were convinced that the confession was “true.”) However, at least one recognized indicator of a possible false confession is readily apparent in this case.

16. We acknowledge amicus briefs filed by the Attorney General, the Secretary of Public Safety, the Massachusetts District Attorneys, and the Boston police department; Suffolk Lawyers for Justice, Inc., and the New England Innocence Project; and Committee for Public Counsel Services.

that results in a statement or confession by a suspect. As is all too often the case, the lack of any recording has resulted in the expenditure of significant judicial resources (by three courts), all in an attempt to reconstruct what transpired during several hours of interrogation conducted in 1998 and to perform an analysis of the constitutional ramifications of that incomplete reconstruction. Where, as here, it is the Commonwealth that bears the burden of proof, gaps in that reconstruction, and the inability to place the coercive features of the interrogation in their precise context, must result in suppression of the statement. We will never know whether, if able to hear (or even view) the entirety of the interrogation, the impact of the officers' trickery and implied offers of leniency might have appeared in context sufficiently attenuated to permit the conclusion that DiGiambattista's confession was nevertheless voluntary. "Given the fine line between proper and improper interrogation techniques, the ability to reproduce the exact statements⁴⁴¹ made during an interrogation is of the utmost benefit." *Commonwealth v. Scoggins*, *supra* at 577 n. 4, 789 N.E.2d 1080 (where recording confirmed that officers had not used false evidence or made assurances of benefit from confessing, motion to suppress properly denied).¹⁷ We therefore take this occasion to revisit the issue of electronic recording of interrogations.

[11] Eight years ago, this court announced that, although failure to record an interrogation would not result in automatic suppression of a defendant's statement,

17. Indeed, it is ironic that, in our two leading cases upholding the admissibility of a confession despite police resort to trickery, significant portions of the interrogation or confession were recorded. See *Commonwealth v. Edwards*, 420 Mass. 666, 669, 651 N.E.2d 398 (1995); *Commonwealth v. Selby*, 420 Mass. 656, 658–659, 651 N.E.2d 843 (1995).

the lack of a recording was itself a relevant factor to consider on the issues of voluntariness and waiver. *Commonwealth v. Diaz*, 422 Mass. 269, 273, 661 N.E.2d 1326 (1996). See *Commonwealth v. Burgess*, 434 Mass. 307, 314, 749 N.E.2d 112 (2001); *Commonwealth v. Larkin*, 429 Mass. 426, 438–439 n. 10, 708 N.E.2d 674 (1999). Put simply, a judge may reasonably conclude that when the party with the burden of proof beyond a reasonable doubt on the issues of voluntariness and waiver deliberately fails to utilize readily available means to preserve the best evidence of what transpired during the interrogation, it has not met that very high standard of proof. Just as failure to conduct a thorough investigation can itself comprise a basis for concluding that the Commonwealth has not met its burden to prove a defendant's guilt beyond a reasonable doubt, see *Commonwealth v. Bowden*, 379 Mass. 472, 485–486, 399 N.E.2d 482 (1980), failure to preserve evidence of the interrogation in a thorough and reliable form can comprise a basis for concluding that voluntariness and a valid waiver have not been established beyond a reasonable doubt.¹⁸

While we have to date stopped short of requiring electronic recording of interrogations as a constitutional or common-law prerequisite to the admissibility of any resulting statements by the defendant, this court has repeatedly recognized the many benefits that flow from recording of interrogations. See *Commonwealth⁴⁴² v. Groome*, 435 Mass. 201, 219 n. 26, 755 N.E.2d 1224 (2001); *Commonwealth v. Burgess*, *supra* at 314, 749 N.E.2d 112;

18. Notwithstanding our articulation of that view, and notwithstanding that DiGiambattista raised the issue during the evidentiary hearing, the motion judge's findings and conclusions did not address or mention the lack of a recording.

Commonwealth v. Patterson, 432 Mass. 767, 778 n. 14, 739 N.E.2d 682 (2000); *Commonwealth v. Larkin*, *supra* at 438 n. 10, 708 N.E.2d 674; *Commonwealth v. Fernandes*, 427 Mass. 90, 98, 692 N.E.2d 3 (1998); *Commonwealth v. Diaz*, *supra* at 272–273, 661 N.E.2d 1326; *Commonwealth v. Fryar*, 414 Mass. 732, 742 n. 8, 610 N.E.2d 903 (1993), *S.C.*, 425 Mass. 237, 680 N.E.2d 901, cert. denied, 522 U.S. 1033, 118 S.Ct. 636, 139 L.Ed.2d 615 (1997). Other jurisdictions, similarly reluctant to articulate a taping requirement as a matter of State constitutional law, have acknowledged that recording of interrogations would act as a deterrent to police misconduct, reduce the number and length of contested motions to suppress, allow for more accurate resolution of the issues raised in motions to suppress, and at trial on the merits, provide the fact finder a complete version of precisely what the defendant did (or did not) say in any statement or confession. See *People v. Raibon*, 843 P.2d 46, 49 (Colo.Ct.App.1992); *State v. James*, 237 Conn. 390, 432, 434, 678 A.2d 1338 (1996); *State v. Kekona*, 77 Hawai'i 403, 409, 886 P.2d 740 (1994); *Stoker v. State*, 692 N.E.2d 1386, 1390 (Ind.Ct.App. 1998); *People v. Fike*, 228 Mich.App. 178, 189–190, 577 N.W.2d 903 (1998) (Fitzgerald, J., concurring in part and dissenting in part); *Williams v. State*, 522 So.2d 201, 208 (Miss.1988); *State v. Godsey*, 60 S.W.3d 759, 772 (Tenn.2001); *State v. James*, 858 P.2d 1012, 1018 (Utah Ct.App. 1993); *State v. Kilmer*, 190 W.Va. 617, 629, 439 S.E.2d 881 (1993). Commentators endorse the recording of interrogations, urging courts to adopt a requirement by way of court rule or constitutional law. See

19. In the exercise of its supervisory authority, the Supreme Court of New Jersey has recently established a committee to investigate whether to “encourage” electronic recording of interrogations by imposing “a presumption against admissibility of a non-recorded state-

ment.” *State v. Cook*, 179 N.J. 533, 562, 847 A.2d 530 (2004). One Justice of that court expressed the view that a recording requirement should be implemented immediately. *Id.* at 569, 847 A.2d 530 (Long, J., dissenting). Westling, Something Is Rotten in the Interrogation Room: Let’s Try Video Oversight, 34 J. Marshall L.Rev. 537 (2001); Drizin, Let the Cameras Roll: Mandatory Videotaping of Interrogations Is the Solution to Illinois’ Problem of False Confessions, 32 Loy. U. Chi. L.J. 337 (2001); Comment, False Confessions and Fundamental Fairness: The Need for Electronic Recording of Custodial Interrogations, 6 B.U. Pub. Int. L.J. 719 (1997); White, False Confessions and the Constitution: Safeguards Against Untrustworthy Confessions, 32 Harv. C.R.-C.L. L.Rev. 105, 153–155 (1997); Leo, The Impact of Miranda Revisited, 86 J.Crim. L. & Criminology 621, 681–691 (1996); Kamisar, Foreword: *Brewer v. Williams*—A Hard Look at a Discomfiting Record, 66 Geo. L.J. 209 (1977); Unif. R.Crim. P. 243(b), 144310 U.L.A. 38 (Master ed.2001); Model Code of Pre-Arrest Procedure § 130.4(3) (1975).

To date, only two State courts have imposed a requirement that interrogations be recorded. See *Stephan v. State*, 711 P.2d 1156, 1158 (Alaska 1985) (unexcused failure to record custodial interrogation violates due process clause of State Constitution); *State v. Scales*, 518 N.W.2d 587, 592 (Minn. 1994) (exercising court’s supervisory power to mandate suppression of unrecorded custodial interrogations).¹⁹ Meanwhile, three States and the District of Columbia have, by legislation, imposed a recording requirement for certain types of cases and interrogations. See 725 Ill. Comp. Stat. Ann. 5/103–2.1 (West 2003); Me.Rev.Stat. Ann. tit. 25, § 2803–B(1)(J), 2004 Me. Legis. Serv. 780 (West 2004); Tex.Code Crim. Proc. Ann. art. 38.22, § 3 (West 1999);

ment.” *State v. Cook*, 179 N.J. 533, 562, 847 A.2d 530 (2004). One Justice of that court expressed the view that a recording requirement should be implemented immediately. *Id.* at 569, 847 A.2d 530 (Long, J., dissenting).

D.C.Code Ann. § 5-133.20 (2003). Despite initial reluctance on the part of law enforcement personnel, actual experience with recording of interrogations has confirmed that the benefits expected from the procedure have indeed materialized, and most of those benefits ultimately inure to the prosecution, not to the defendant. Sullivan, *Police Experiences with Recording Custodial Interrogations*, Nw. U. Sch. Law, Center on Wrongful Convictions, Special Report (2004); Geller, *Videotaping Interrogations and Confessions*, National Institute of Justice, U.S. Dep't of Justice, Research in Brief (Mar.1993); Drizin, *supra* at 393-400.

The principal objection to recording of interrogations springs from the fear that suspects will refuse to talk at all, or will decline to make a full confession, if they know they are being recorded. Based on experience to date in other jurisdictions, those fears appear exaggerated.²⁰ Moreover, what is posed as an objection to recording of interrogations is itself inherently 144contrary to our requirement of a knowing and voluntary waiver of the right to remain silent. We recognize that inter-

rogating officers may prefer to lull suspects into the mistaken belief that they are having a confidential chat with a sympathetic listener, and thus do not want an ongoing audiotape or videotape recording that will serve to remind a suspect of the fact "that anything he says can be used against him in a court of law." *Miranda v. Arizona*, 384 U.S. 436, 479, 86 S.Ct. 1602, 16 L.Ed.2d 694 (1966). A technique, like recording, that reinforces a suspect's understanding and appreciation of that portion of the Miranda warnings is not to be eschewed because it would have that desirable reinforcing effect.²¹

Those opposing the imposition of any requirement that interrogations be recorded contend that, whatever the benefits of recording, it is beyond this court's power to regulate the activities of law enforcement, and that attempts to do so would violate the separation of powers. See art. 30 of the Declaration of Rights of the Massachusetts Constitution. The issue, however, is not what we "require" of law enforcement, but how and on what conditions evidence will be admitted in our courts. We retain as part of our superin-

20. For reasons that are unclear, Justice Spina's dissent accords greater credence to the speculative fears of investigators who do not normally record interrogations, *post* at 459-460, 813 N.E.2d at 541-542 (Spina, J., dissenting), than to studies reflecting interviews with hundreds of law enforcement agencies who have years of experience recording interrogations as a regular practice. See Sullivan, *Police Experiences with Recording Custodial Interrogations*, Nw. U. Sch. Law, Center on Wrongful Convictions, Special Report (2004); Geller, *Videotaping Interrogations and Confessions*, National Institute of Justice, U.S. Dep't of Justice, Research in Brief (Mar.1993). Both of those studies reflect that, notwithstanding initial apprehension and skepticism, law enforcement agencies overwhelmingly endorse the practice of recording interrogations once they have gained experience with it.

21. The issue of financial cost, although raised in a footnote in an amicus brief, has not been identified as a significant obstacle to recording interrogations. To the extent that there are some police departments or law enforcement agencies that do not already have recording equipment, the cost of the equipment is minimal, and that cost is dwarfed by comparison to the costs of having officers spend countless hours testifying at hearings and trials in an attempt to reconstruct the details of unrecorded interrogations. See *Commonwealth v. Patterson*, 432 Mass. 767, 778 n. 14, 739 N.E.2d 682 (2000) (noting that recording of interrogation of murder suspect would have avoided conflict of interest with attorney witness and thereby avoided necessity for retrial). Again, law enforcement agencies with significant experience recording interrogations confirm that the benefits of the practice far outweigh the costs. See note 20, *supra*.

tendence power the authority to 1445 regulate the presentation of evidence in court proceedings. The question before us is whether and how we should exercise that power with respect to the introduction of evidence concerning interrogations.

Proponents of electronic recording of interrogations ask that we, like Minnesota, exercise our superintendence power to impose a bright-line rule refusing to admit in evidence statements and confessions obtained by way of unrecorded custodial interrogation. See *State v. Scales, supra*. Although appealing in its superficial simplicity (and unquestionably an effective method of convincing law enforcement officials to adopt recording as a standard practice), we still decline to impose such a rule. Among other problems, adoption of a rule excluding evidence of unrecorded interrogations necessitates precise identification of what interrogations will be subject to that rule—does it cover only custodial interrogations, or should it also cover any noncustodial interrogation conducted in particular locations (e.g., at police stations)? If the requirement were to be premised on the custodial (as opposed to noncustodial) nature of the interrogation, what do we do with interrogations that start out as noncustodial but arguably become custodial at some later (and often disputed) point during questioning? A rule of exclusion would also have to allow for justifiable failures to record—e.g., equipment malfunction, or the suspect’s refusal to allow recording (or insistence that the tape recorder be turned off at a particular point during the interrogation). See G.L. c. 272, § 99. With regard to a suspect who is willing to speak to the interrogator but initially unwilling to be recorded, would we need to impose some

requirement that the interrogator make a good faith effort to convince the suspect to agree to recording, lest that ostensible “justification” for not recording too easily become the exception that swallows the rule? Notwithstanding predominantly positive experiences in those jurisdictions that have imposed recording requirements as a prerequisite to admissibility, we are hesitant to formulate a rigid rule of exclusion, and all its corollary exceptions and modifications (each of which would potentially spark new disputes in motions to suppress).

We are not, however, satisfied with preservation of the status quo, which amounts only to repeated pronouncements from the 1446 court about the potential benefits of recording interrogations. Just as we have advised judges of the significance they may attach to the lack of a recording when deciding motions to suppress, we believe it is appropriate to provide juries with that same advice.

There is no dispute that the evidence of a defendant’s alleged statement or confession is one of the most significant pieces of evidence in any criminal trial. When there is a complete recording of the entire interrogation that produced such a statement or confession, the fact finder can evaluate its precise contents and any alleged coercive influences that may have produced it. Where, however, the fact finder is presented only with officers’ testimony as to what they recall as the significant aspects of the interrogation and the defendant’s statement (and perhaps a defendant’s equally selective and biased account of the same interrogation and statement), the fact finder has a woefully incomplete and inherently unreliable version of what everyone recognizes as critical evidence in the case.²²

22. Proponents of recording correctly point out that other critical evidence is ordinarily preserved with far greater care—e.g., crime

scenes are photographed or even (as here) videotaped, not just described from the witness stand by the responding officers.

Even assuming the most conscientious and good faith efforts of an interrogating officer, and even aided by a contemporaneous written statement or summary report, the officer can at best reconstruct only a portion of what was said over the course of an interrogation conducted months and oftentimes years prior to the time the officer testifies. Those contemporaneous written reports and statements can only reflect what the officer at the time perceived to be of significance to the case, which may not include issues that emerge only later during the investigation or at trial. The failure better to preserve this critical evidence in the first place, a failure that is often attributable to the strategic decision of the interrogating officer, merits the fact finder's express consideration.

[12, 13] Where, as here, there are grounds for questioning the reliability of certain types of evidence that the jury might misconstrue as particularly reliable, specific instruction to the jury may be appropriate. See, e.g., *Commonwealth v. Ciampa*, 1447406 Mass. 257, 263–264, 266, 547 N.E.2d 314 (1989) (cautionary instruction required when jury hears that plea or immunity agreement requires witness to give “truthful” testimony). We recognize the exceptionally potent quality of a defendant's statement or confession, and that

potency can only be magnified when the evidence of that statement or confession is presented by one or more of the interrogating officers. Where, however, those interrogating officers have chosen *not* to preserve an accurate and complete recording of the interrogation, that fact alone justifies skepticism of the officers' version of events, above and beyond the customary bases for impeachment of such testimony. We believe that a defendant whose interrogation has not been reliably preserved by means of a complete electronic recording should be entitled, on request, to a cautionary instruction concerning the use of such evidence.^{23,24}

[14] Thus, when the prosecution introduces evidence of a defendant's confession or statement that is the product of a custodial interrogation or an interrogation conducted at a place of detention (e.g., a police station), and there is not at least an audiotape recording of the complete interrogation, the defendant is entitled (on request) to a jury instruction advising that the State's highest court has expressed a preference that such interrogations be recorded whenever practicable, and cautioning the jury that, because of the absence of any recording of the interrogation⁴⁴⁸ in the case before them, they should weigh evi-

23. We do not mean to suggest that the prosecutor must introduce the entirety of a recorded interrogation in evidence in order to avoid the cautionary instruction. Indeed, there will often be times that neither side wants the finder of fact to hear (or the public record to reflect) the entirety of the recording, and ordinary evidentiary rules may operate to exclude portions of a recording. As long as the entire recording is available to the defendant, issues as to the reliability and completeness of any testimony concerning the interrogation can be adequately addressed, and there is no need for any cautionary instruction. Rather, the instruction is predicated on the failure to preserve the evidence in the first place, not by any failure to introduce it.

24. We note that a similar approach was adopted by the High Court of Australia, which required that a cautionary instruction be given to the jury “whenever police evidence of a confessional statement allegedly made by an accused while in police custody is disputed and its making is not reliably corroborated.” *McKinney v. The Queen*, 171 C.L.R. 468, 475, 1991 WL 837610 (1991). Legislation requiring the recording of certain interrogations was later enacted. See Westling, *Videotaping Police Interrogations: Lessons from Australia*, 25 Am. J.Crim. L. 493, 529–534 & n. 236 (1998).

dence of the defendant's alleged statement with great caution and care. Where voluntariness is a live issue and the humane practice instruction is given, the jury should also be advised that the absence of a recording permits (but does not compel) them to conclude that the Commonwealth has failed to prove voluntariness beyond a reasonable doubt. See *Commonwealth v. Cryer*, 426 Mass. 562, 571, 689 N.E.2d 808 (1998), and cases cited (jurors must disregard defendant's statement if voluntariness not established beyond a reasonable doubt).

Nothing in this instruction alters the overarching requirement that the voluntariness of a defendant's statement be determined on the totality of the circumstances. *Commonwealth v. Selby*, 420 Mass. 656, 662–663, 651 N.E.2d 843 (1995), and cases cited. To the contrary, the instruction aptly focuses the jury's attention on the fact that the Commonwealth has failed to present them with evidence of the "totality" of the circumstances, but has instead presented them with (at best) an abbreviated summary of those circumstances and the interrogating officers' recollections of the highlights of those circumstances. Jurors should use great caution when trying to assess the "totality of the circumstances" when they have before them only a highly selective sliver of those circumstances, and they may properly decide that, in the absence of that "totality,"

25. Contrary to the fears expressed in Justice Greaney's dissent, this is not a "dynamite" charge that will cause automatic rejection of confession evidence. *Post* at 451, 813 N.E.2d at 535–536 (Greaney, J., concurring in part and dissenting in part). Jurors receive cautionary instructions on various subjects, some of them very strongly worded. For example, we have no reason to believe that the instruction required by *Commonwealth v. Ciampa*, 406 Mass. 257, 263–264, 266, 547 N.E.2d 314 (1989), has resulted in the wholesale rejection of testimony from cooperating witnesses.

they cannot conclude that the defendant's statement was voluntary.²⁵

[15] Where we now mandate a jury instruction, not a rule of exclusion, we think that the instruction is appropriate for any custodial interrogation, or interrogation conducted in a place of detention, without regard to the alleged reasons for not recording that interrogation.²⁶ It is of course permissible for the prosecution to address any reasons or justifications that would § 49 explain why no recording was made, leaving it to the jury to assess what weight they should give to the lack of a recording. The mere presence of such reasons or justifications, however, does not obviate the need for the cautionary instruction.

Nor, in light of our repeatedly announced preference that interrogations be recorded and the widespread recognition of the benefits of recording interrogations, do we see the need to postpone the implementation of our decision. Law enforcement officials who have chosen not to avail themselves of the opportunity to preserve this critical evidence cannot complain that we have decided to highlight to juries the potential significance of that choice.

Despite our view that recording all interrogations would improve the efficiency, accuracy, and fairness of criminal proceedings, we still decline at this time to make recording of the interrogation a prerequi-

26. For now, this instruction need be given only in cases involving interrogation of a defendant in custody or at a place of detention. While recordings of interviews in other settings may also be desirable, we recognize that recording is not practicable in all circumstances. However, if an interrogation is conducted at a police station, or if the interrogation is custodial, it is reasonable to expect that arrangements for recording can be made.

site to the admissibility of a defendant's statement. However, where the utilization of recording is left to the unfettered discretion of law enforcement (as it is at present), and an officer has chosen not to record a particular interrogation, we think that it is only fair to point out to the jury that the party with the burden of proof has, for whatever reason, decided not to preserve evidence of that interrogation in a more reliable form, and to tell them that they may consider that fact as part of their assessment of the less reliable form of evidence that the Commonwealth has opted to present.

5. *Conclusion.* The judgment of conviction is reversed, the verdict is set aside, and the case is remanded to the Superior Court for further proceedings consistent with this opinion.

So ordered.

GREANEY, J. (concurring in part and dissenting in part, with whom SPINA and COWIN, JJ., join).

I agree with the court's conclusions that it is unnecessary to require corroboration beyond that required in *Commonwealth v. Forde*, 392 Mass. ¹⁴⁵⁰453, 458, 466 N.E.2d 510 (1984), to sustain a confession; and that recording of defendants' statements, at the risk of their exclusion in evidence, should not be mandated. I join with Justice Spina, however, in concluding that the defendant's motion to suppress was correctly denied and in his observations and conclusions with respect to maintaining, without change, the current totality of the circumstances test. I also agree with his observations and conclusions as to the need for recording of statements to which I add the following. If the latter is to be considered at all by the court, it should be done so only after study by a representative committee (like the study now being conducted in New Jersey, see *State v.*

Cook, 179 N.J. 533, 562, 847 A.2d 530 [2004]), where all interested parties can be heard and as many issues as possible identified and resolved in advance. Otherwise, the pronouncement of a mandatory rule without guidelines and exceptions could lead to a quagmire of litigation (with defendants seeking to gain advantage at every opportunity) over a multiplicity of issues. Experience with the criminal rules of procedure demonstrates that practices that have been studied and codified in rules and exceptions work the best. The Legislature, of course, may act at any time, and that body is uniquely suited to conduct the type of study necessary to fashion a workable procedure. I hope they will address the matter.

I do not agree with the remedy proposed by the court, more specifically, the form of instructions mandated (on request). I, of course, agree with the general proposition that recording may be desirable. "There is force to a recording requirement particularly if a defendant is being questioned at a police station. The cost of the equipment and its operation is minimal. The machinery is not difficult to use. A recording speaks for itself literally on questions concerning what was said and in what manner. Recording would tend to eliminate certain challenges to the admissibility of defendants' statements and to make easier the resolution of many challenges that are made. . . . Police officials should be alert to the merits of recording custodial interrogations and be warned that the time may come when recording in places of detention, at least, will be mandatory if a statement obtained during custodial interrogation is to be admissible." (Citations omitted.) *Commonwealth v. Diaz*, 422 Mass. 269, 272-273, 661 N.E.2d 1326 (1996).

¹⁴⁵¹This being said, the proposed instructions are far too intrusive on the Common-

wealth's rights and of a nature that will tend to "dynamite" a jury into concluding that a defendant's statement should be rejected.¹ The instructions appear to presuppose that many statements given by defendants are being obtained by police misconduct of a degree that renders them involuntary and that recording will expose widespread violations of defendants' rights. The opposite is the fact. Based on the countless number of statements each year that are held voluntary by judges (after hearing motions to suppress), and by juries (after hearing the evidence and the required instruction on voluntariness when that subject is in issue), the proof of police misconduct overbearing the will of defendants is virtually non-existent.² In the absence of a firm basis to suspect police misconduct as widely prevalent, there is no reason to require jury instructions that will tilt the playing field unfairly against the Commonwealth. This is especially so with respect to the J₄₅₂ first mandated in-

struction which will tell the jury, even in cases where voluntariness is *not* in issue, that "the State's highest court has expressed a preference that [custodial and place of detention] interrogations be recorded whenever practicable, and . . . because of the absence of any recording of the interrogation in [this] case . . . [you, the jury] should weigh evidence of the defendant's alleged statement with great caution and care." *Ante* at 447-448, 813 N.E.2d 533-534. What conscientious juror, having been so sternly warned by a judge, will not automatically give less credence to what the court describes as "one of the most significant pieces of evidence in any criminal trial"? When the defendant does not challenge the statement or confession as involuntary, there is no reason to impose on the Commonwealth an instruction (that will likely be requested in every case) that changes the equilibrium of the trial in such a dramatic way.

1. The instructions also suffer from the fact that counsel on appeal have not been heard with regard to them. The briefs on the case (both by the parties and the amici) focus virtually exclusively on the reasonableness of ordering recording. No brief has directly discussed the appropriateness of instructions or their content. The instructions proposed, I suspect, will come as a shock to the Commonwealth, and I expect as well that, had additional briefing been permitted, the Commonwealth would have much to say on the language that should be used in any instructions.

2. That the police engage in certain interrogation techniques that may appear to some to be unfair is really beside the point. As discussed at length by the court, *ante* at 432-433, 813 N.E.2d at 523-524, the use of trickery, or other techniques employed by police as tactical devices to obtain a suspect's inculpatory statements, may be argued as a relevant factor for the fact finder's consideration in reaching a determination on voluntariness. See *Commonwealth v. Edwards*, 420 Mass. 666, 671-672, 651 N.E.2d 398 (1995); *Com-*

monwealth v. Forde, 392 Mass. 453, 455-456, 466 N.E.2d 510 (1984). In the end, however, the resolution of voluntariness turns, as noted by Justice Spina in his separate opinion, on an analysis of the entire circumstances in which a damaging statement has been made. See *Commonwealth v. Edwards*, *supra* at 671, 651 N.E.2d 398, and cases cited. "The fact that [a] statement was educed by trickery [is] 'relevant but not conclusive.'" *Commonwealth v. Forde*, *supra* at 455, 466 N.E.2d 510, quoting *Commonwealth v. Jackson*, 377 Mass. 319, 328 n. 8, 386 N.E.2d 15 (1979). A different result is reached in cases where officers have falsely represented to a defendant the nature of his constitutional right to testify, see *Commonwealth v. Novo*, *ante* 262, 268-269 & n. 5, 812 N.E.2d 1169 (2004), or used trickery to induce a defendant to relinquish his right to remain silent after that right clearly has been asserted. See *Commonwealth v. Harvey*, 390 Mass. 203, 206, 454 N.E.2d 105 (1983); *Commonwealth v. Brant*, 380 Mass. 876, 885-886, 406 N.E.2d 1021, cert. denied, 449 U.S. 1004, 101 S.Ct. 545, 66 L.Ed.2d 301 (1980). None of these circumstances is present here.

The same may be said of the second instruction required in those cases where voluntariness is an issue, advising the jury that the absence of a recording permits, but does not compel, a conclusion that the Commonwealth has failed to prove voluntariness beyond a reasonable doubt. Although the court cites *Commonwealth v. Cryer*, 426 Mass. 562, 571, 689 N.E.2d 808 (1998), the mandated instruction goes far beyond principles set forth in that decision. There we stated that a judge may, but is not obligated to, offer a jury guidance with respect to their role in the determination of voluntariness of a defendant's statements, by reciting some of the factors that the jury may consider in reaching their determination whether the Commonwealth has met its burden of proof on the voluntariness issue beyond a reasonable doubt. See *id.* at 572, 689 N.E.2d 808. We also made clear that a jury must reach their determination as to whether the Commonwealth has met its burden, based on consideration of "all the evidence" surrounding the statement or confession and not on the presence, or absence, of any one particular factor (emphasis added). *Id.* See *Commonwealth v. Novo*, 442 Mass. 262, 267, 812 N.E.2d 1169 (2004) (enumerating multiple factors to be considered in evaluating statement's voluntariness, including promises or other inducements, conduct of defendant, defendant's age, education, intelligence and emotional stability, and details of interrogation); *Commonwealth v. Raymond*, 424 Mass. 382, 395, 676 N.E.2d 824 (1997); *Commonwealth v. Parker*, 412 Mass. 353, 358 n. 11, 589 N.E.2d 306 (1992). See also *Commonwealth v. Selby*, 420 Mass. 656, 663, 651 N.E.2d 843 (1995) (test for voluntariness is "whether, in light of the totality of the circumstances surrounding the making of the statement, the will of the defendant was overborne to the extent that the statement was not the result of a free and voluntary act").

The court has reshaped the "totality of the circumstances" test by directing the jury to focus on one consideration (the presence or absence of an electronic recording) over all others. This is accomplished by telling the jury that the court has expressed a "preference" for recording and that a finding of involuntariness may be premised on the absence of one, thus exalting this single factor over the others. This results in an otherwise balanced test being unfairly skewed in favor of the defendant. A videotape recording may well serve as the best evidence of many of the traditional voluntariness factors, because a video, at least one of average quality, can capture not only promises, inducements, and the recitation of Miranda warnings, but also the conduct of the defendant and details of the defendant's physical and mental condition. An audiotape recording (the lack of which now triggers a defendant's entitlement to the instructions), however, even one of exceptional quality, is capable of capturing only spoken words and noises and, thus, cannot fairly be deemed the best evidence of the "totality" of events that transpired during the interrogation. Moreover, the presumption, implicit in the instructions, that the failure to record was a strategic decision on the part of an interrogating officer intent on concealing the true nature of the interrogation, adds an entirely new, and unjustified, factor to the "totality of the circumstances" test.

Finally, the length of overreaching by the court is dramatically exposed by the fact that no State has chosen to require instructions of the type now required. To find some authority for this Draconian remedy, the court has to look to Australia, and that authority is quietly nested in a footnote. *Ante* at 447 note 24, 813 N.E.2d at 533. By mandating instructions, the court is attempting to compel the Com-

monwealth to record statements, thus doing indirectly what the court agrees should not be done directly.

I would adhere to the practice outlined in *Commonwealth v. Diaz*, 422 Mass. 269, 273, 661 N.E.2d 1326 (1996):

¹⁴⁵⁴[D]efense counsel is entitled to pursue the failure of the police to record a defendant's statements. Counsel may, for example, inquire of a testifying police officer . . . whether he or she was aware of the availability of recorders to use during the questioning of suspects. Counsel may argue to a jury and to a judge as factfinder that the failure of the police to record electronically statements made in a place of custody should be considered in deciding the voluntariness of any statement, whether the defendant was properly advised of his rights, and whether any statement attributed to the defendant was made."

As for an instruction, I would simply direct that the jury be told in every case involving custodial interrogation or interrogation at a police station, where the defendant later challenges an unrecorded statement as involuntary, that they may consider the lack of a recording of the defendant's statement as a factor, along with all other relevant circumstances, in deciding whether the statement was voluntary. This is the same consideration that a judge may consider in passing on a motion to suppress, see *Commonwealth v. Burgess*, 434 Mass. 307, 314, 749 N.E.2d 112 (2001); *Commonwealth v. Larkin*, 429 Mass. 426, 438 n. 10, 708 N.E.2d 674 (1999), and it is proper to advise the jury of the consideration so that both fact finders are using the same methodology. The instruction would be given as a part of the humane practice instruction. Until a better understanding can be obtained of the over-all problem, requiring anything more is an unnecessary overreaction and, as has been

stated, is grossly unfair to the Commonwealth and the rights of the citizens it represents in a prosecution.

SPINA, J. (dissenting, with whom GREANEY, J., joins, and COWIN, J., joins as to Part B only).

Today the court makes two important and, in my view, overreaching changes in our jurisprudence with respect to the voluntariness of statements by criminal defendants. First, applying a "strict analysis of the circumstances of the interrogation," *ante* at 432, 813 N.E.2d at 523, the court holds that the use of trickery coupled with implicit promises of leniency by the police henceforth requires suppression of any statements obtained by such means. Second, the court holds that the government's failure electronically to record an interrogation⁴⁵⁵ entitles the defendant to a jury instruction that will permit an automatic finding of involuntariness, regardless of the totality of the circumstances. Because I believe the court "goes too far on too little," *Miranda v. Arizona*, 384 U.S. 436, 499, 86 S.Ct. 1602, 16 L.Ed.2d 694 (1966) (Clark, J., dissenting in part), I respectfully dissent.

A. *Motion to suppress.* The *Miranda* Court recognized that the atmosphere created by custodial interrogation "carries its own badge of intimidation." *Id.* at 457, 86 S.Ct. 1602. The Fifth Amendment to the United States Constitution requires the giving of *Miranda* warnings to counterbalance this inherently coercive environment. In Massachusetts, we provide even greater protection for defendants than does the Federal Constitution with respect to circumstances of custodial interrogation. For example, a defendant need not be informed under the Fifth Amendment that an attorney is trying to reach him, *Moran v. Burbine*, 475 U.S. 412, 106 S.Ct. 1135, 89 L.Ed.2d 410 (1986), but under art. 12 of the Massachusetts Declaration of Rights, a

defendant must be so informed. *Commonwealth v. Mavredakis*, 430 Mass. 848, 855–862, 725 N.E.2d 169 (2000). More pertinent to this case is the fact that, under the Fifth Amendment, the government need prove voluntariness of an incriminating statement only by a preponderance of the evidence, see *Lego v. Twomey*, 404 U.S. 477, 487–489, 92 S.Ct. 619, 30 L.Ed.2d 618 (1972), but our common law requires proof beyond a reasonable doubt. *Commonwealth v. Tavares*, 385 Mass. 140, 149–150 & n. 15, 430 N.E.2d 1198, cert. denied, 457 U.S. 1137, 102 S.Ct. 2967, 73 L.Ed.2d 1356 (1982). Moreover, our “humane practice” rule ensures greater safeguards for defendants than those provided by many other jurisdictions. See *Jackson v. Denno*, 378 U.S. 368, 378–383 & nn. 8–10, 410–423, 84 S.Ct. 1774, 12 L.Ed.2d 908 (1964). See also Wilkins, *The State Constitution Matters*, 44 B.B.J. 4 (2000).

As the court correctly points out, where the use of trickery by police is the sole factor in the totality of the circumstances that suggests involuntariness, we do not require suppression of a defendant’s statements. *Ante* at 433, 813 N.E.2d at 523. See *Commonwealth v. Edwards*, 420 Mass. 666, 674, 651 N.E.2d 398 (1995); *Commonwealth v. Selby*, 420 Mass. 656, 664, 651 N.E.2d 843 (1995). We have upheld a determination of involuntariness, however, when trickery was used in combination with other police tactics, such as telling the defendant that confession would “help” his defense, and that “truth” would be a “good defense.” See *Commonwealth v. Meehan*, 377 Mass. 552, 564–565, 387 N.E.2d 527 (1979), cert. dismissed, 445 U.S. 39, 100 S.Ct. 1092, 63 L.Ed.2d 185 (1980). “The touchstone is whether the police ‘assured’ the defendant that his confession would aid his defense or result in a lesser sentence.” *Commonwealth v. Jordan*, 439 Mass. 47, 53, 785 N.E.2d 368 (2003), citing *Common-*

wealth v. Meehan, *supra* at 564, 387 N.E.2d 527. For the first time, the court announces today that *implied* assurances of leniency, when combined with false statements relating to the strength of the case against the defendant, render an interrogation sufficiently coercive to mandate suppression of a confession.

Here, the court concludes that the police officers’ references to “counseling” for the defendant, plus their suggestion “that his commission of the crime was understandable, justifiable, excusable, and not that serious,” constitute an implied offer of leniency to the defendant. *Ante* at 436, 813 N.E.2d at 525. The court asserts that there were “various references during the interrogation to [the defendant’s] need for ‘counseling.’” *Ante* at 435, 813 N.E.2d at 525. At the motion hearing, however, one State trooper testified that they discussed helping the defendant get counselling for his drinking problem *after* he had confessed. Another trooper testified that he told the defendant, “[I]t seems like to me that [you] probably need[] some type of counselling,” without specifying whether he made this comment before or after the defendant confessed. That officer also denied telling the defendant that, “if he confessed, he’d have to get alcohol counselling.” A third officer confirmed that the police never told the defendant that, if he confessed, they would get counselling for him and he would not go to jail. Based on this testimony, the motion judge determined that the discussion about counselling “was *not* in the context of offers of leniency in exchange for a confession or as a part of any deal” (emphasis added). Despite this finding, which is not clearly erroneous, the court asserts that the mention of counselling—whenever it occurred—was part of an implied offer of leniency.

The defendant himself never raised the argument about an implied promise of le-

niency in his motion to suppress. Rather, he claimed that the police ignored his repeated requests for counsel, and that they expressly threatened to arrest his fiancée and take custody of their young children unless he admitted to §457 setting the fire.¹ The motion judge specifically rejected these claims, finding the defendant's testimony to be "not credible." Moreover, as the court acknowledges, the motion judge found that the officers "made no explicit promises of leniency in exchange for a confession to the crime." *Ante* at 435, 813 N.E.2d at 525.

Left with the complete absence of any express threats or promises to the defendant, the court turns to a discussion of the technique known as "minimization," citing research published in 1991 that supposedly suggests that by minimizing the crime, police officers imply that a defendant will be treated leniently if he only confesses.² See *ante* at 436, 813 N.E.2d at 526. Use of minimization by interrogators is nothing new; the Supreme Court of the United States referred to the tactic in *Miranda v. Arizona*, *supra* at 450, 86 S.Ct. 1602 ("The officers are instructed to minimize the moral seriousness of the offense, to cast blame on the victim or on society" [footnotes omitted]). Indeed, the *Miranda* Court cites to the same interrogation manual discussed in the research article cited by the court in this case. See *id.* at 450 nn. 12, 13, 86 S.Ct. 1602, citing Inbau &

Reid, *Criminal Interrogation and Confessions* (1962).

Even if the police employed this common interrogation technique here, however, there is no indication that the research purporting to discredit it was ever presented to the motion judge or admitted in evidence at trial. "The testimony of experts may provide invaluable help to judges and to juries in making a determination of voluntariness." *Commonwealth v. Crawford*, 429 Mass. 60, 65, 706 N.E.2d 289 (1999), and cases cited. Of course, it is by no means certain that a judge would admit expert testimony of this nature; several State courts have already rejected similar evidence based on its dubious scientific validity.³ See *Vent v. State*, 67 P.3d 661, 667-670 (Alaska Ct.App.2003) (applying §458 test articulated in *Daubert v. Merrell Dow Pharms., Inc.*, 509 U.S. 579, 113 S.Ct. 2786 [1993]); *State v. Free*, 351 N.J.Super. 203, 214-220, 798 A.2d 83 (2002) (applying test articulated in *Frye v. United States*, 293 F. 1013 [D.C.Cir. 1923]). See also *State v. Tellier*, 526 A.2d 941, 944 (Me.1987) (seeing no probative value in "abstract, vague and speculative" testimony regarding false confessions); *Kolb v. State*, 930 P.2d 1238, 1242 (Wyo. 1996) (deeming such testimony to be "scientifically unreliable"). But see *United States v. Hall*, 974 F.Supp. 1198, 1205 (C.D.Ill.1997), *aff'd*, 165 F.3d 1095 (7th

1. There is no dispute as to the defendant's third claim in his motion, that the investigators used false information to induce him to confess.

2. "Minimization" is a "'soft-sell' technique in which the police interrogator tries to lull the suspect into a false sense of security by offering sympathy, tolerance, face-saving excuses, and even moral justification, by blaming a victim or accomplice, by citing extenuating circumstances, or by playing down the seriousness of the charges." Kassin, *Police Interrogations and Confessions: Communicating*

Promises and Threats by Pragmatic Implication, 15 L. & Hum. Behav. 233, 235 (1991) (Kassin).

3. Other courts have excluded such expert testimony as unhelpful because the subject matter is within the understanding of an ordinary jury, see *State v. Ritt*, 599 N.W.2d 802, 810-812 (Minn.1999), cert. denied, 528 U.S. 1165, 120 S.Ct. 1184, 145 L.Ed.2d 1090 (2000), or because its admission otherwise "invades the province of the jury." *State v. Cobb*, 30 Kan. App.2d 544, 567, 43 P.3d 855 (2002).

Cir.), cert. denied, 527 U.S. 1029, 119 S.Ct. 2381, 144 L.Ed.2d 784 (1999).

According to the “[r]esearch” cited by the court, *ante* at 436, 813 N.E.2d at 526, actual criminal suspects were not tested; rather, the subjects of the three experiments were undergraduates who read transcripts of mock criminal interrogations. See Kassin, *Police Interrogations and Confessions: Communicating Promises and Threats by Pragmatic Implication*, 15 L. & Hum. Behav. 233, 235–236, 239, 243 (1991). The researchers concluded after the second experiment that “the results offer *mixed support* for the hypothesis that minimization may pragmatically imply an offer of leniency” (emphasis added). *Id.* at 239. More than one court has expressed doubt about the reliability of Professor Kassin’s research. See *United States v. Hall*, *supra* at 1204 (“Even Professor Saul M. Kassin, who conducted many of these experiments [testing false confession theories] points out that various factors may have skewed the results, such as the use of college students as subjects . . . and the nature of the acts involved Professor Kassin even goes so far as to admit that ‘*the current empirical foundation may be too meager to support recommendations for reform*’ . . .” [emphasis added]); *State v. Free*, 351 N.J.Super. 203, 220, 798 A.2d 83 (2002) (determining that Kassin’s theories have not “gained general acceptance” and rejecting proposed testimony by Kassin as “not scientifically reliable”). See also Agar, *The Admissibility of False Confession Expert Testimony*, *Army Law*. 26, 42 (1999) (“Currently, the empirical base that supports the [false confession] theory [promoted by Kassin and others] has too many unanswered questions, no known error rate, and just one laboratory experiment to back it up. This foundation cannot support reliable conclusions just yet”). I question the wisdom of fashioning a pow-

erful new legal equation in Massachusetts (trickery plus implicit promises of leniency equals suppression)—one that was not even proposed by the defendant in his own motion—based largely on what one commentator has dubbed “not yet ready for ‘prime time’” research. Agar, *supra*. See generally Schauer, *Nonlegal Information and the Delegalization of Law*, 29 J. Legal Stud. 495 (2000).

B. *Electronic recording.* Turning to the issue of electronically recording police interrogations, the court states that “[w]e will never know whether, if able to hear (or even view) the entirety of the interrogation, the impact of the officers’ trickery and implied offers of leniency might have appeared in context sufficiently attenuated to permit the conclusion that DiGiambattista’s confession was nevertheless voluntary.” *Ante* at 440, 813 N.E.2d at 528. This assertion completely overlooks the fact that both the motion judge (who heard all three officers testify that the interrogation was not recorded) and the jury determined that the confession *was* voluntary. Defense counsel did not argue to the jurors, as he was permitted to under *Commonwealth v. Diaz*, 422 Mass. 269, 273, 661 N.E.2d 1326 (1996), that the failure to record should be a factor in their assessment of voluntariness, nor (as far as I can tell) did he request such an instruction from the trial judge. It seems to me that, as on the issue of implied promises of leniency, the court is taking it on itself to make the defendant’s argument for him.

The court also asserts that recordings will “reduce the number and length of contested motions to suppress.” *Ante* at 442, 813 N.E.2d at 530. However, the briefs of amici curiae in the law enforcement community, including veteran police investigators, argue that tape recording will result in far fewer confessions, because many suspects are unwilling to

speak if their conversation is to be recorded.⁴ They contend that a tape recording requirement will compromise an investigator's ability to build trust with a suspect, "trust that can be used . . . to gain information in an ¹⁴⁶⁰effort to solve crimes and to prevent future violence." The court gives short shrift to this concern, asserting that "[b]ased on experience to date in other jurisdictions, those fears appear exaggerated," *ante* at 443, 813 N.E.2d at 531, and relying on a report published long after this case went to trial.

I also take issue with the assertion that the court "recognize[s]" that some investigators "prefer to lull suspects into the mistaken belief that they are having a confidential chat with a sympathetic listener." *Ante* at 444, 813 N.E.2d at 531. I do not know how "[w]e recognize" this, given that the court cites to no cases demonstrating that this has happened. Furthermore, I am not convinced that the cost of purchasing and maintaining the necessary equipment poses no "significant obstacle," *ante* at 444 note 21, 813 N.E.2d at 531, to the already tightened budgets of our small cities and towns.

We have been provided no empirical data suggesting that the traditional analysis of the totality of the circumstances fails to address the concerns raised by the court. As we stated in *Commonwealth v. Selby*, 420 Mass. 656, 662–663, 651 N.E.2d 843 (1995):

"A statement is voluntary if it is the product of a 'rational intellect' and a 'free will.' *Commonwealth v. Davis*, 403 Mass. 575, 581, 531 N.E.2d 577 (1988). In determining whether a statement was made voluntarily, in compliance with due process of law, we examine whether, in

light of the totality of the circumstances surrounding the making of the statement, the will of the defendant was overborne to the extent that the statement was not the result of a free and voluntary act. . . . Under this 'totality of the circumstances' test, we consider all of the relevant circumstances surrounding the interrogation and the individual characteristics and conduct of the defendant. *Commonwealth v. Parker*, [402 Mass. 333, 340, 522 N.E.2d 924 (1988)]. Relevant factors include, *but are not limited to*, 'promises or other inducements, conduct of the defendant, the defendant's age, education, intelligence and emotional stability, experience with and in the criminal justice system, physical and mental condition, the initiator of the discussion of a deal or leniency (whether the defendant or the police), and the details of the interrogation,⁴⁶¹ including the recitation of Miranda warnings.' *Commonwealth v. Mandile*, 397 Mass. 410, 413, 492 N.E.2d 74 (1986)." (Citations omitted and emphasis added.)

Under our current law, judges may consider the lack of a recording as a factor bearing on the issues of voluntariness and waiver when ruling on a motion to suppress. See *Commonwealth v. Diaz*, 422 Mass. 269, 273, 661 N.E.2d 1326 (1996). See also *Commonwealth v. Burgess*, 434 Mass. 307, 314, 749 N.E.2d 112 (2001); *Commonwealth v. Larkin*, 429 Mass. 426, 438–439 n. 10, 708 N.E.2d 674 (1999).

Here, as the court points out, the defendant raised the Commonwealth's failure to record during the suppression hearing (by cross-examining the officers about it), and although the judge made no specific find-

4. Journalists also often find this to be true. See Sutherland, *Techniques Improve Interviewing*, 53 *Editorially Speaking* (1999) ("There is a great debate among reporters

about tape recording interviews. One school of thought says tape recorders detract from the interview, making the subject more reluctant to talk").

ing as to the question, he nevertheless concluded that the defendant's confession was made voluntarily.⁵ "The judge, presented with these arguments [that failure to record casts doubt on the voluntariness of a statement], was nonetheless within the discretion expressly granted by the decisions in [*Commonwealth v. Diaz*, *supra*,] and [*Commonwealth v. Fryar*, [414 Mass. 732, 742, 610 N.E.2d 903 (1993), S.C., 425 Mass. 237, 680 N.E.2d 901, cert. denied, 522 U.S. 1033, 118 S.Ct. 636, 139 L.Ed.2d 615 (1997),] when [he] declined to suppress the statements." *Commonwealth v. Larkin*, *supra*. It seems that rather than "not [being] satisfied with preservation of the status quo," *ante* at 445, 813 N.E.2d at 532, the court is simply not satisfied with the result in this case.

I do not oppose the electronic recording of custodial interrogations. I do not even oppose instructing the jury, on the defendant's request, that they should consider the Commonwealth's decision not to record as one factor when deciding voluntariness of a confession—an instruction that was *not* given (or apparently requested) in this case. What I oppose is the court's decision to override the traditional totality of the circumstances test, see *Commonwealth v. Selby*, *supra*, with a new rule permitting an automatic determination of involuntariness based solely on the lack of a recording. Cf. *Commonwealth v. Bowden*, 379 Mass. 472, 485–486, 399 N.E.2d 482 (1980) (permitting defendant to argue reasonable doubt on

basis of police failure to §462 conduct scientific tests or follow procedures). No other factor is given such great weight in the assessment of the totality of the circumstances, and I see no compelling reason to single this one out. There is no record from which this court can conclude that the Commonwealth has a widespread problem of investigators obtaining false confessions. Because I discern no sufficient justification for displacing the traditional analysis of the voluntariness of a confession, I respectfully dissent.



442 Mass. 463

**LOCAL 1652, INTERNATIONAL
ASSOCIATION OF
FIREFIGHTERS**

v.

TOWN OF FRAMINGHAM & others.¹

Supreme Judicial Court of Massachusetts,
Middlesex.

Argued April 8, 2004.

Decided Aug. 16, 2004.

Background: Fire fighters' union sought preliminary injunction ordering town officials to submit request for full funding of minimum staffing provision in three-year

5. It is important to keep in mind that, although there is no electronic recording of the interrogation, there *is* a confession signed by the defendant, as well as a letter of apology he wrote out himself.

1. The town manager and the board of selectmen of Framingham. We shall refer to these defendants, the town's executive branch, as the "town officials." The town manager reports to the board of selectmen.

We acknowledge amicus briefs of the Massachusetts Municipal Association and Massachusetts Coalition of Police. We strike the brief of the Professional Firefighters of Massachusetts, because it was submitted by the same law firm (and attorney) who represented the union in this case. Briefs of amici curiae are intended to represent the views of *non* parties.